

# Biometric Systems

- Threats and Countermeasures
- The State-of-the-Art

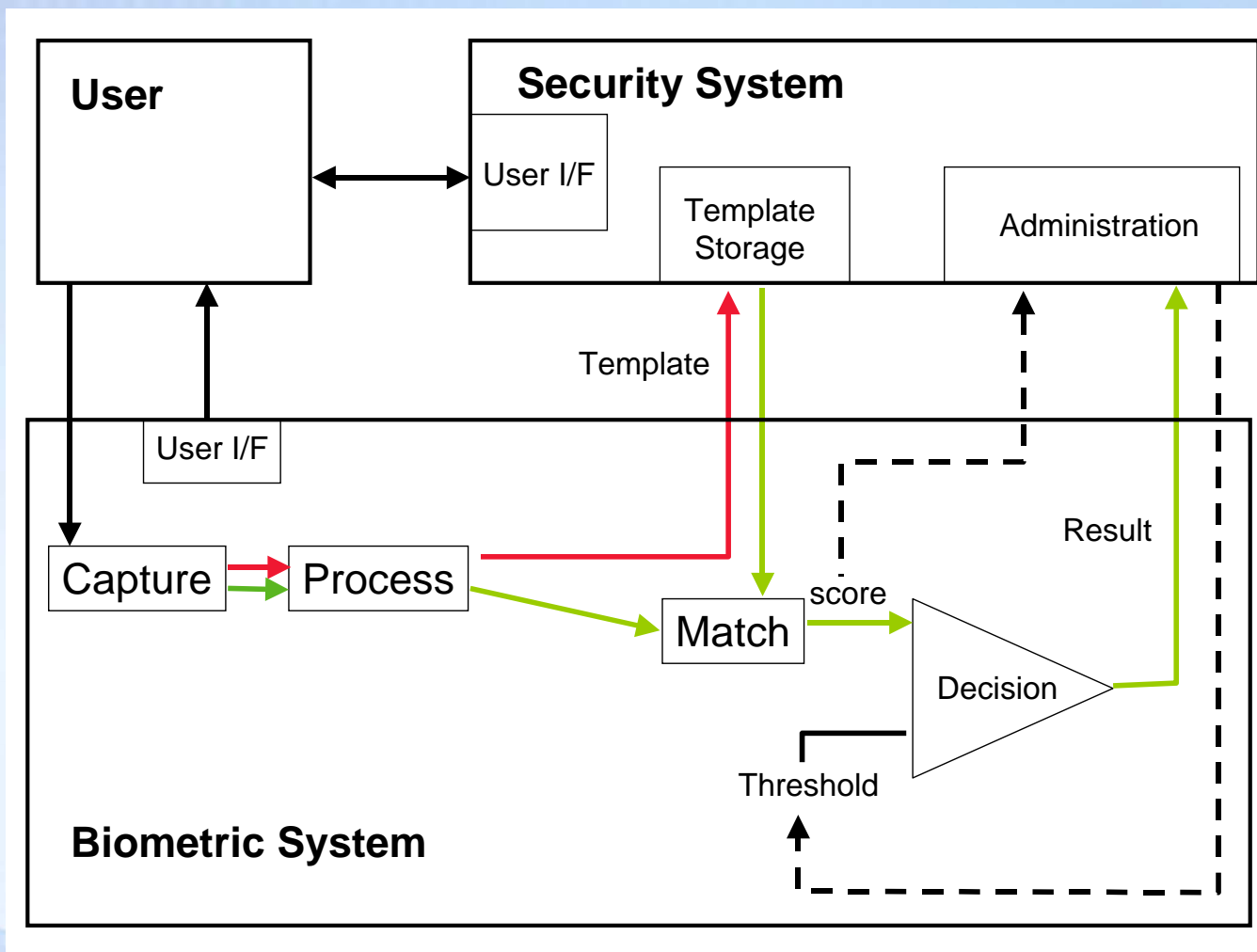
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# Overview

- General Biometric System Security
- Security of Biometric Templates
- Security Architecture
- Fake Finger Rejection
- Mutual Authentication

## Biometric System and Security System Interface



## Enrollment/Registration of Individual

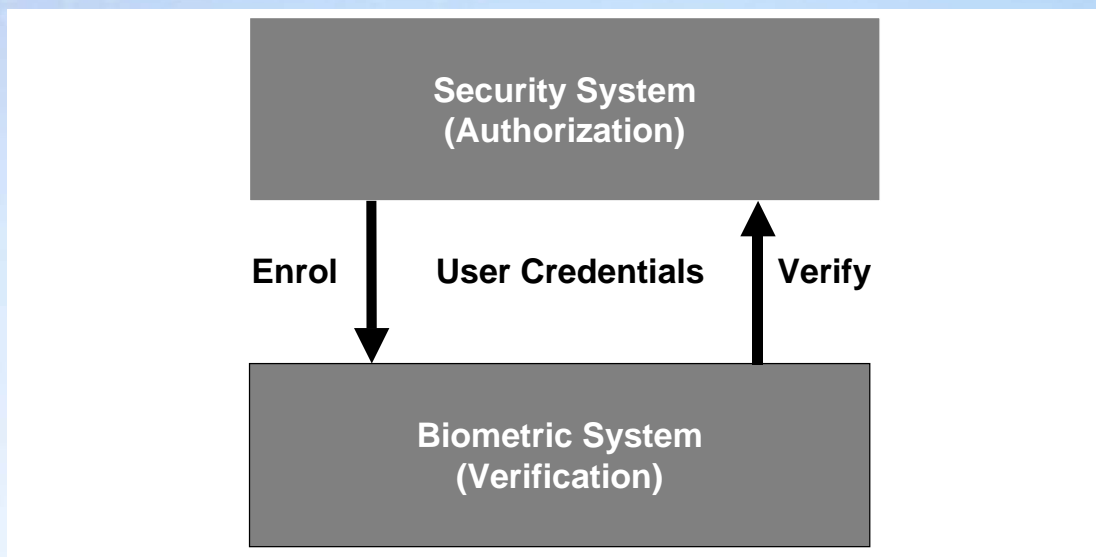
- **registration** of a new user within security system
- administrator of the system determines the unique **identity** of the individual
- new **user** established
- a unique **identifier** is assigned to the user by which they are known to the security system
- individual instructed to **enroll** their biometric to create a biometric **template**
- template **bound** to the identifier, to create a **user record**

## Verification/Authorization of User

- individual establishes a ***claim*** to the system
- user record is ***unbound*** to produce the template and identifier.
- individual is requested to ***verify***
- if a successful match occurs, the identifier is relayed to the security system
- user is ***authorized***, according to their security system rights and privileges

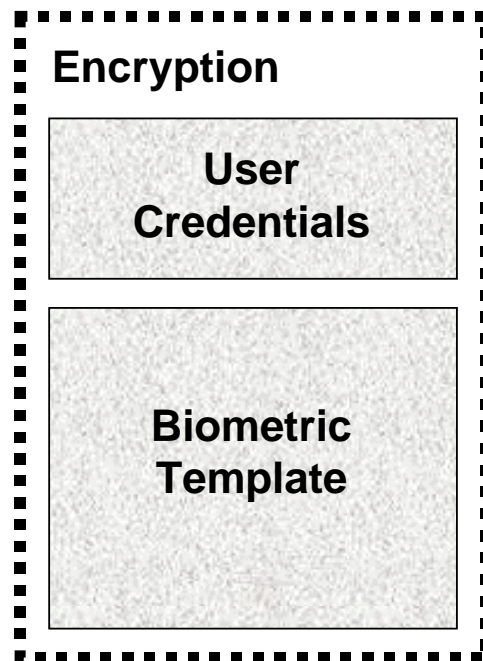
## Biometric Verification/User Authorization

### - User Credentials



- Link between user verification/system authorization
- Provides complex answer
- Individual can have a number of user credentials
- Prevents Identity Theft

## User Record - Encryption





# User Record – Encryption Prevents Identity Theft

User A  
Credentials

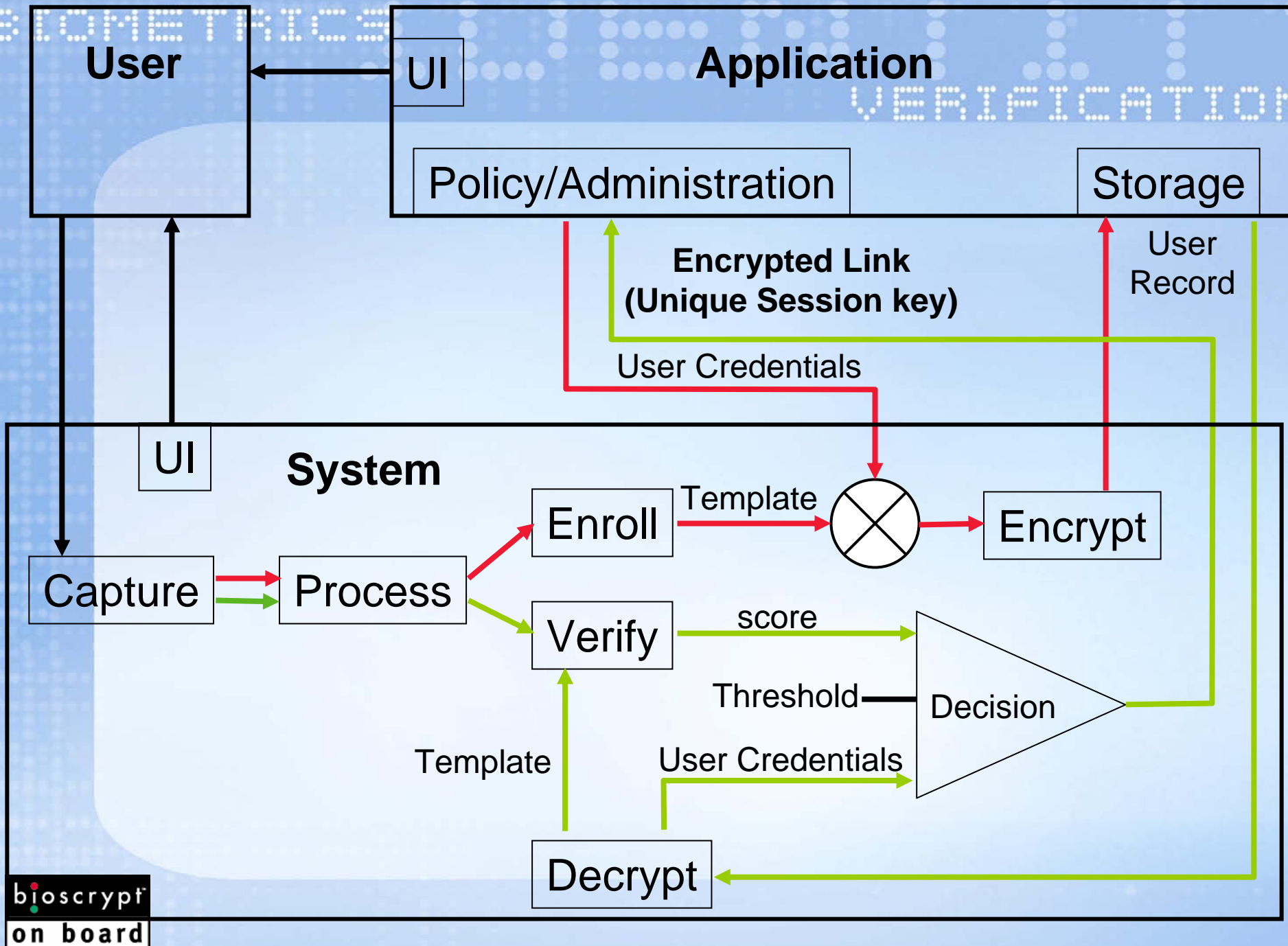
User B  
Biometric  
Template

## Encryption

User B  
Credentials

User B  
Biometric  
Template





### **Encryption of User Record**

- Provides Confidentiality and Integrity of the biometric template
- Mitigates Identity Theft.

### **Unique Session Key**

- Mitigates replay attack

### **Use of User Credentials/Identifier**

- Mitigates attack on the biometric system result

### **Internally set threshold**

- Avoids threshold-based attacks

## Security Evaluation - Common Criteria

- Established in 1998 to provide a mutually recognizable basis for the evaluation of IT security products.
- Designed to replace the existing National Body schemes for security evaluations.
- The Common Criteria comprises three components:
  - Common Evaluation Methodology
  - Protection Profile
  - Security Target

## Other System Issues

- Controlled Enrollment/Registration
- User Record/Encryption
- Liveness detection
- Mutual Authentication between components

- Context of this discussion
- Interlocked component security architecture
- Real-biometric discrimination – Anti-spoofing
- Mutual authentication between components

## ■ Assumptions

- ◆ Platforms may not be secure
  - ❖ Use “Trusted Platform” resources if present
  - ❖ Provide best reasonable trust levels when “TP” not present
- ◆ Networks may not be secure
- ◆ Components and their interfaces (SW & HW) can be made reasonably secure

Client  
Platform

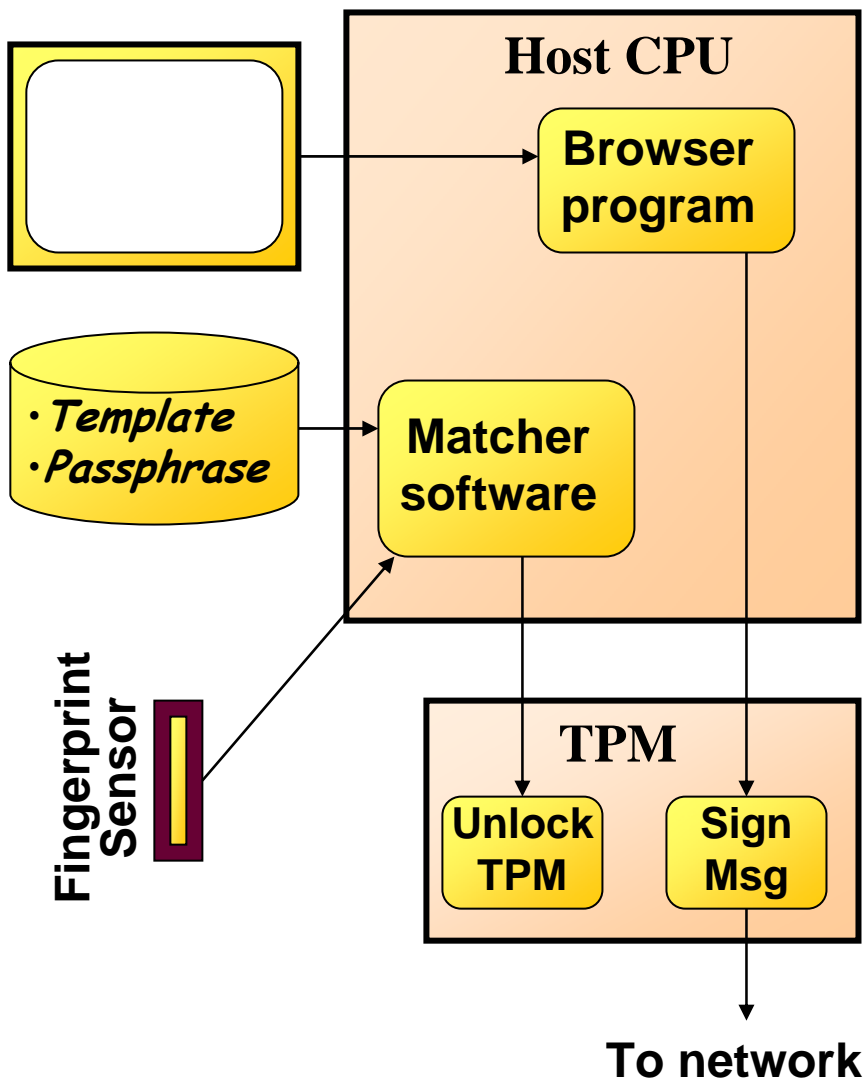
## ■ Approach

- ◆ Balance the security of the ID system with the security of the rest of the system
- ◆ Use interlocked component security chains
  - ❖ To provide reasonably secure system-level functions
  - ❖ On unsecured platforms and networks

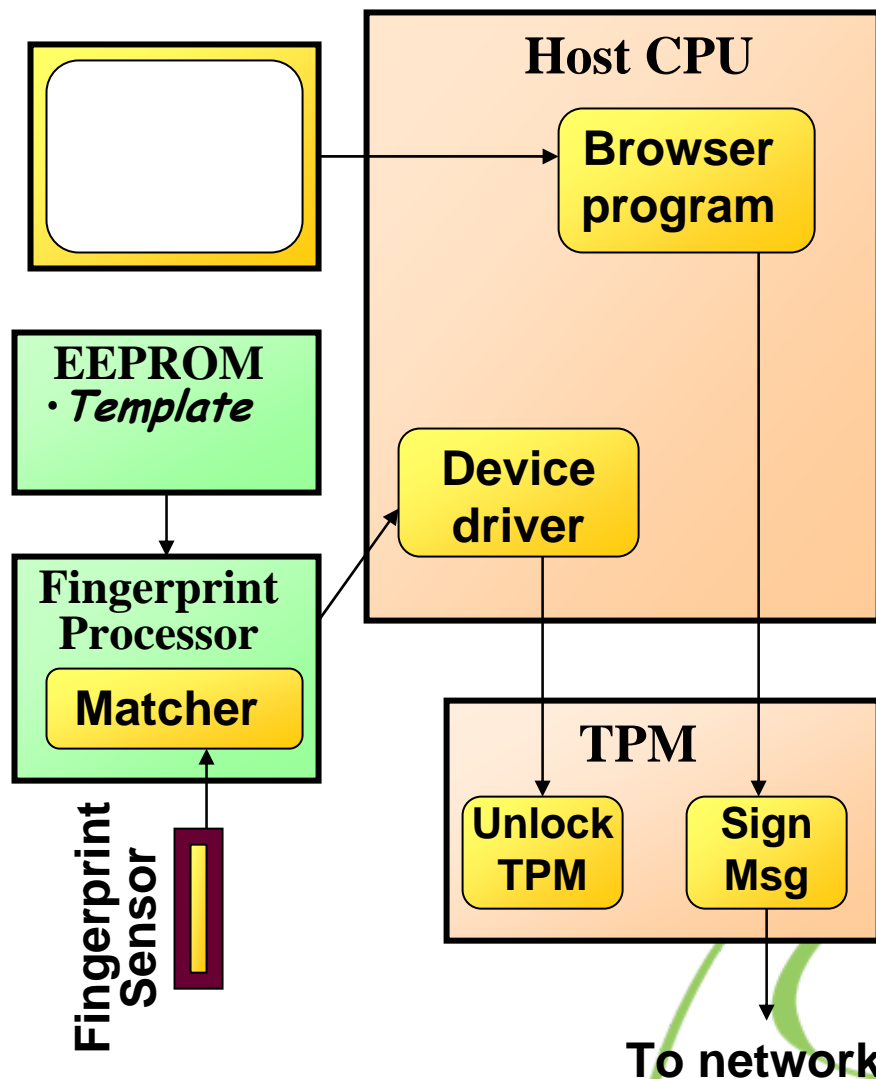


# A simplified architecture comparison

## Host CPU matching

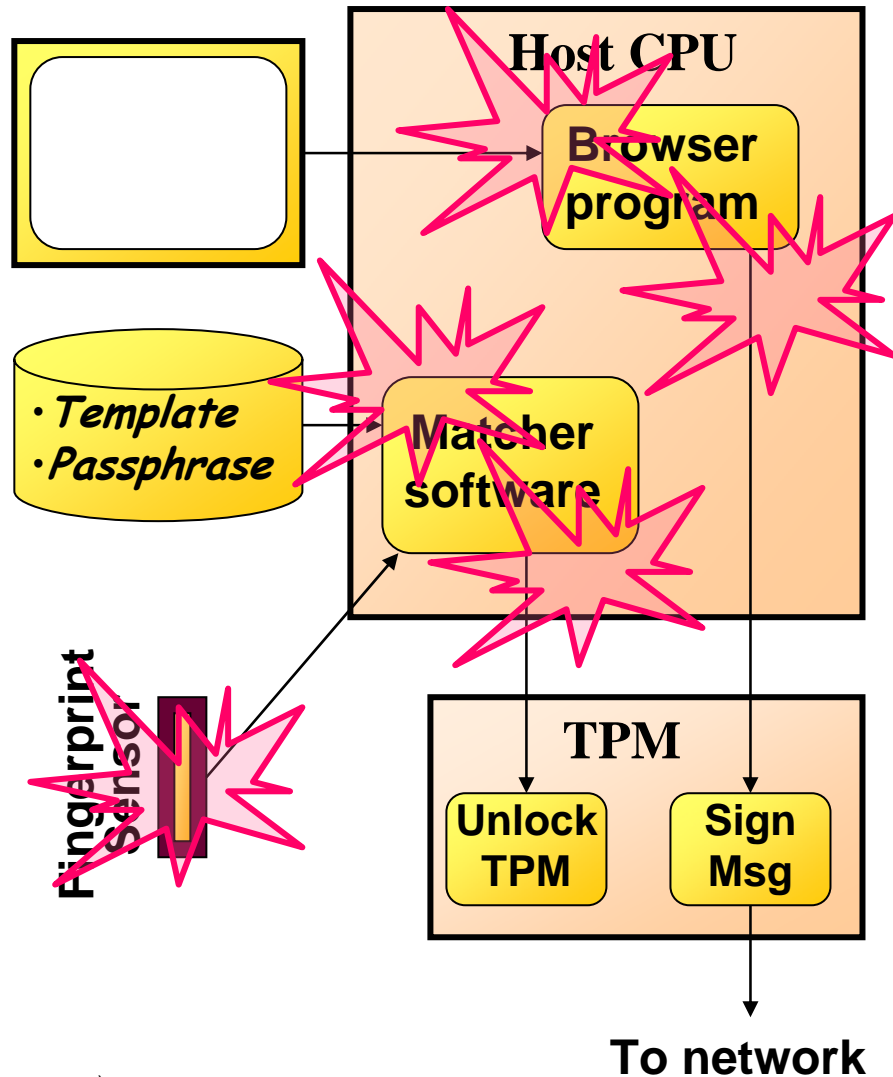


## Companion processor matching

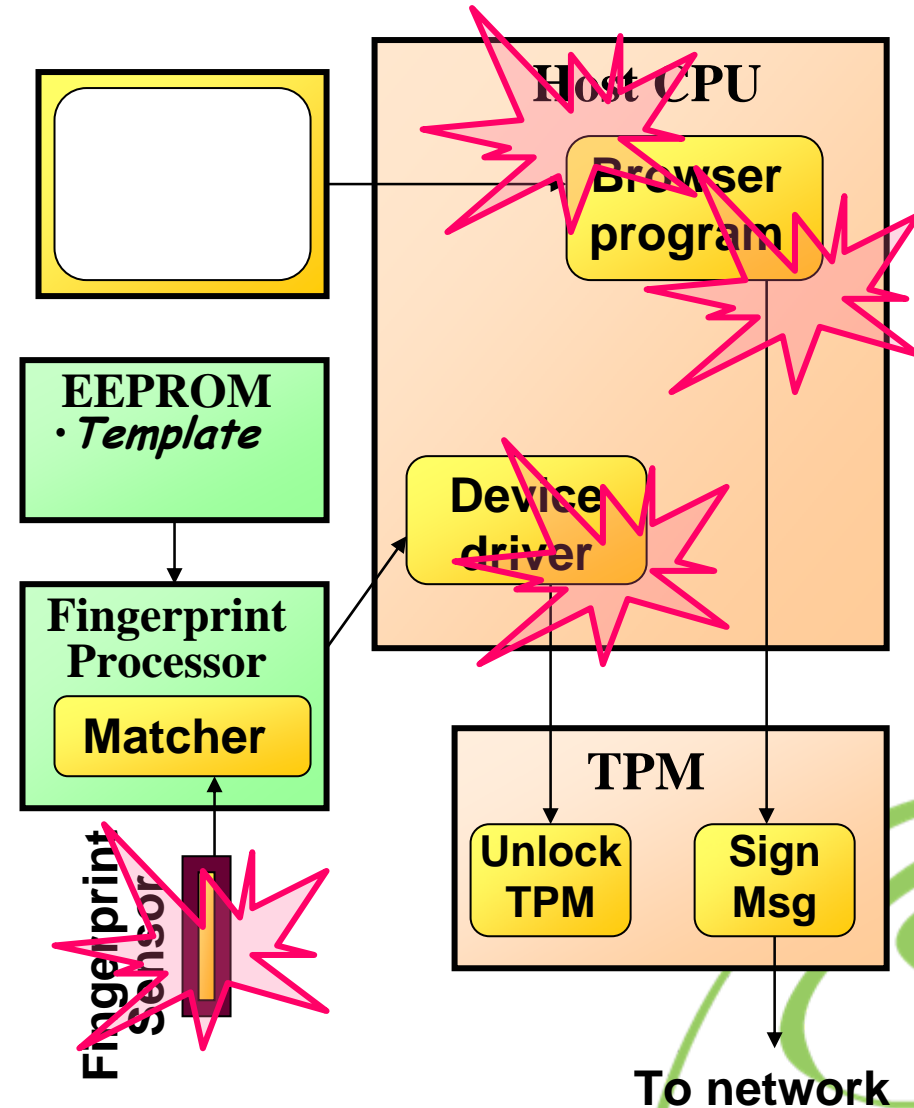


# Some possible attack points

## Host CPU matching



## Companion processor matching



## ■ Assumptions

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## ■ Approach

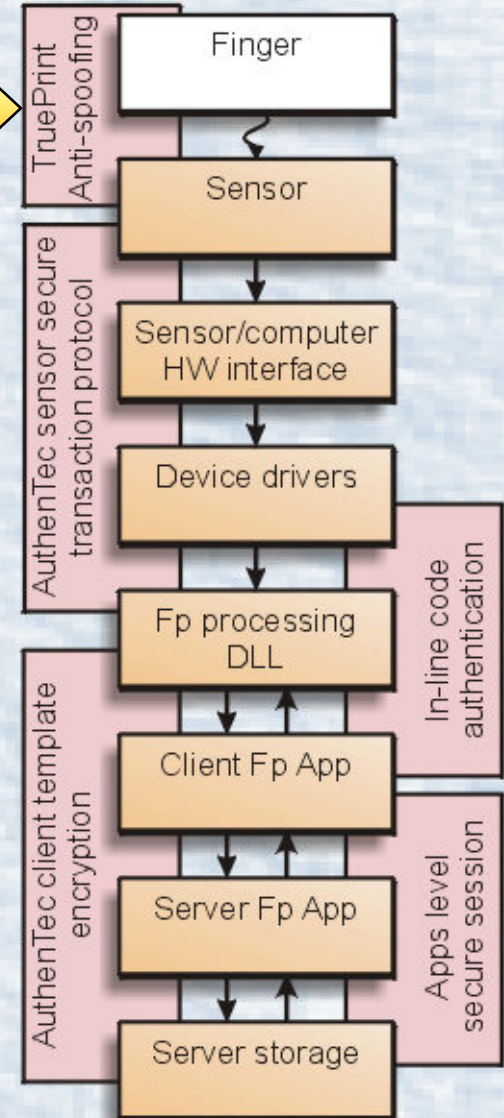
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# Interlocked component security architecture

- Security starts at the finger-to-sensor interface
  - ◆ Spoof detection mechanisms reject fake fingers
- Sensor uses a secure transaction protocol to authenticate each message
  - ◆ Uses an on-chip digital signature engine
- Code signing and authentication prevent Software tampering
- Multi-level template encryption and authentication prevent substitutions or insertions
- System level session security links users to privileges



**AuthenTec fingerprint system security model**  
*for Client-based matching with central template store*

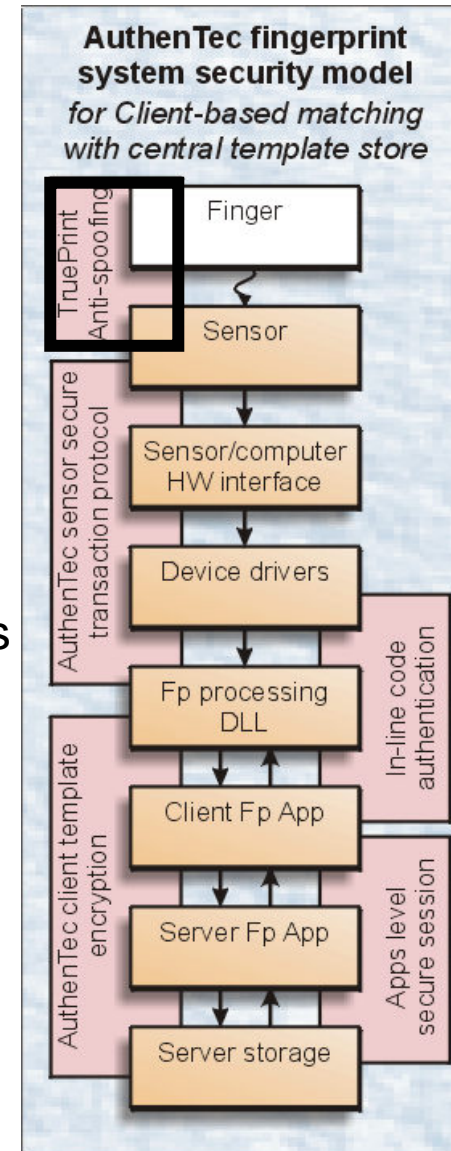


## Definitions:

- ◆ Fake Finger Rejection = Ability to detect and reject artificial replicas of real fingers
- ◆ Fingerprint pattern data must be treated as publicly available

## Importance:

- ◆ Not important in older criminology and background checking applications
  - ❖ Use of fake fingers is impractical where sensor use is heavily supervised
- ◆ Critical importance in emerging unsupervised applications
  - ❖ E-commerce, E-Banking, general password replacement
  - ❖ Personal portable device protection



# *Biometric Anti-spoofing Approaches*

## ■ Class 1. Generic properties of real biometric structures

- ◆ Static properties

Skin optical transparency

- ◆ Dynamic properties

Cardiac pulse

## ■ Class 2. Properties of the real biometric structure that are somewhat specific to the individual

- ◆ Static properties

User specific skin optical transparency

- ◆ Dynamic properties

Cardiac pressure profiles

## ■ Class 3. Low-selectivity secondary biometric patterns of the structure

- ◆ Static properties

Spectral or spatial pattern of skin optical transparency

- ◆ Dynamic properties



## ■ Class 3 anti-spoofing

- ◆ Utilize low-selectivity secondary biometric properties

## ■ In fingerprint sensors, Measure multiple biometric properties of the finger

- ◆ Fingerprint PLUS other properties
- ◆ **Characteristics of the best biometric properties:**
  - ❖ Unrelated to friction ridge pattern (biometrically orthogonal)
  - ❖ Cannot be deduced from info in a latent fingerprint
  - ❖ Somewhat different from one person to the next
  - ❖ Reasonably uniform distribution across the population
  - ❖ Somewhat stable for a single finger over time

## ■ Characteristics of the measurements

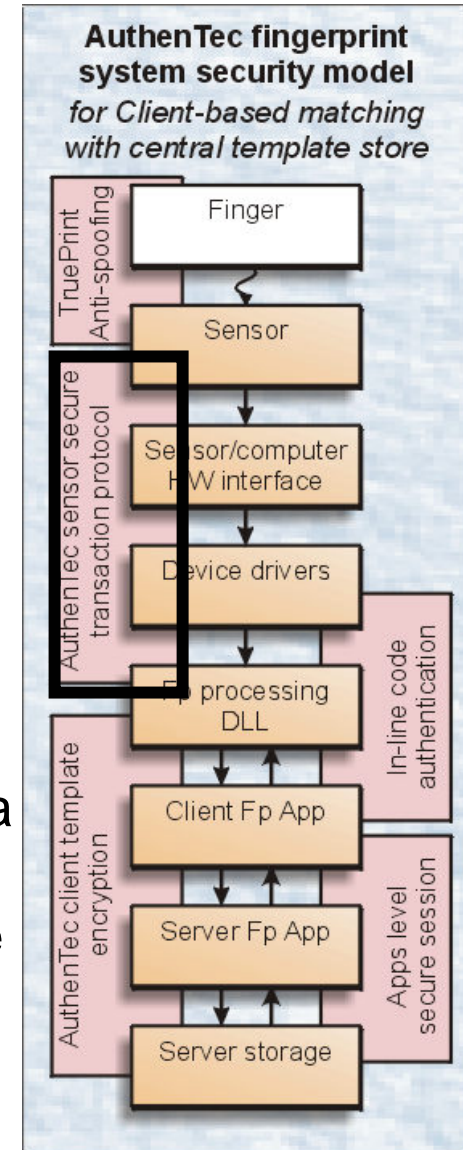
- ◆ Measurements made simultaneously
- ◆ Measure the same physical structure
- ◆ Use colocated sensing devices

## ■ Protects against

- ◆ Threats to the “wire” between the sensor and the host computer
- ◆ Attacks on the I/O drivers or device drivers
- ◆ Including: record/replay, man-in-the-middle, device substitution, etc

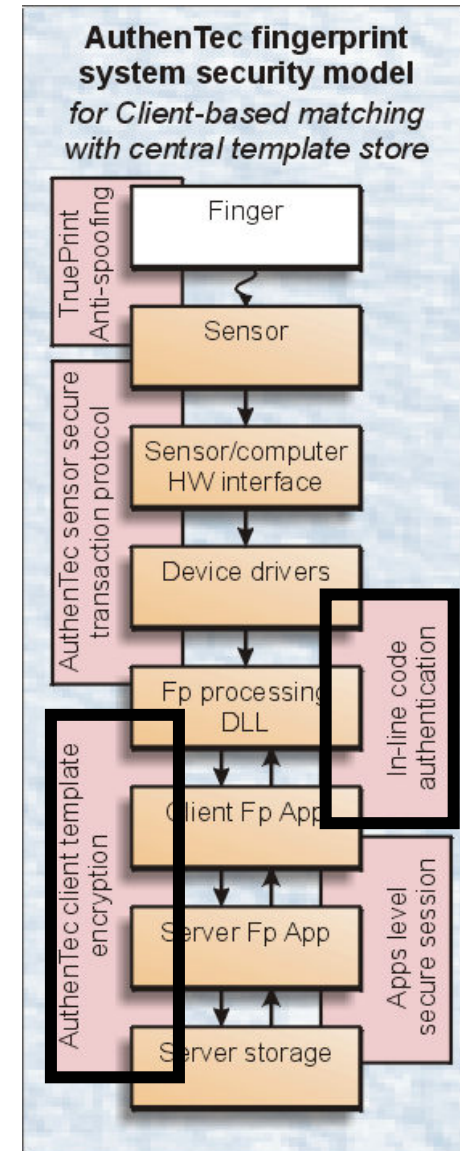
## ■ Protocol overview

- ◆ The processor sends a new challenge word to the sensor before each image is captured
- ◆ The challenge word is used with codes internal to the sensor to produce an encryption key
- ◆ Each image is digitally signed using the image data and this encryption key
- ◆ Each image’s signature is verified when that image is processed



## Objective

- ◆ Make the software and data as secure as practical
  - ❖ Within the limits of current commercial platforms
- ◆ Architecture must provide clean transition path
  - ❖ Provide reasonable security on existing platforms
  - ❖ Support TPM services where available
  - ❖ Support trusted platform
    - when infrastructure support becomes available



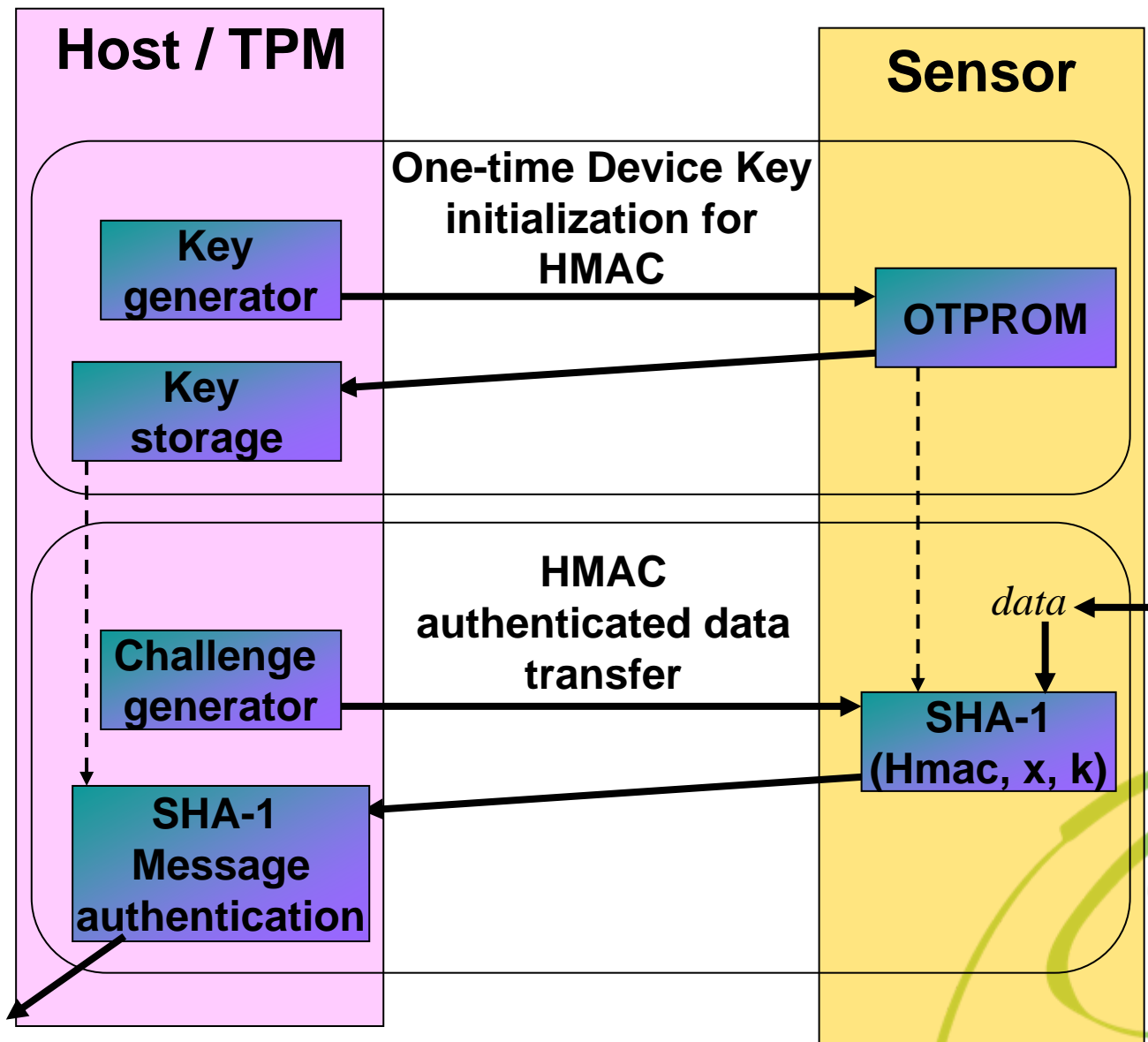
## Example minimum stationary input device transactions

### ■ Sensor key init

- ◆ performed in secure environment
- ◆ Either during:
  - ❖ Mfg. init.
  - ❖ Sys Mgr config
  - ❖ Initial user enroll

### ■ Trusted device is

- ◆ Combo of periph. device + TPM
- ◆ For remote usage, TPM rehashes and then signs data
  - ❖ Allows device key to remain local
- ◆ Key mgmt with the remote server via TPM PKI



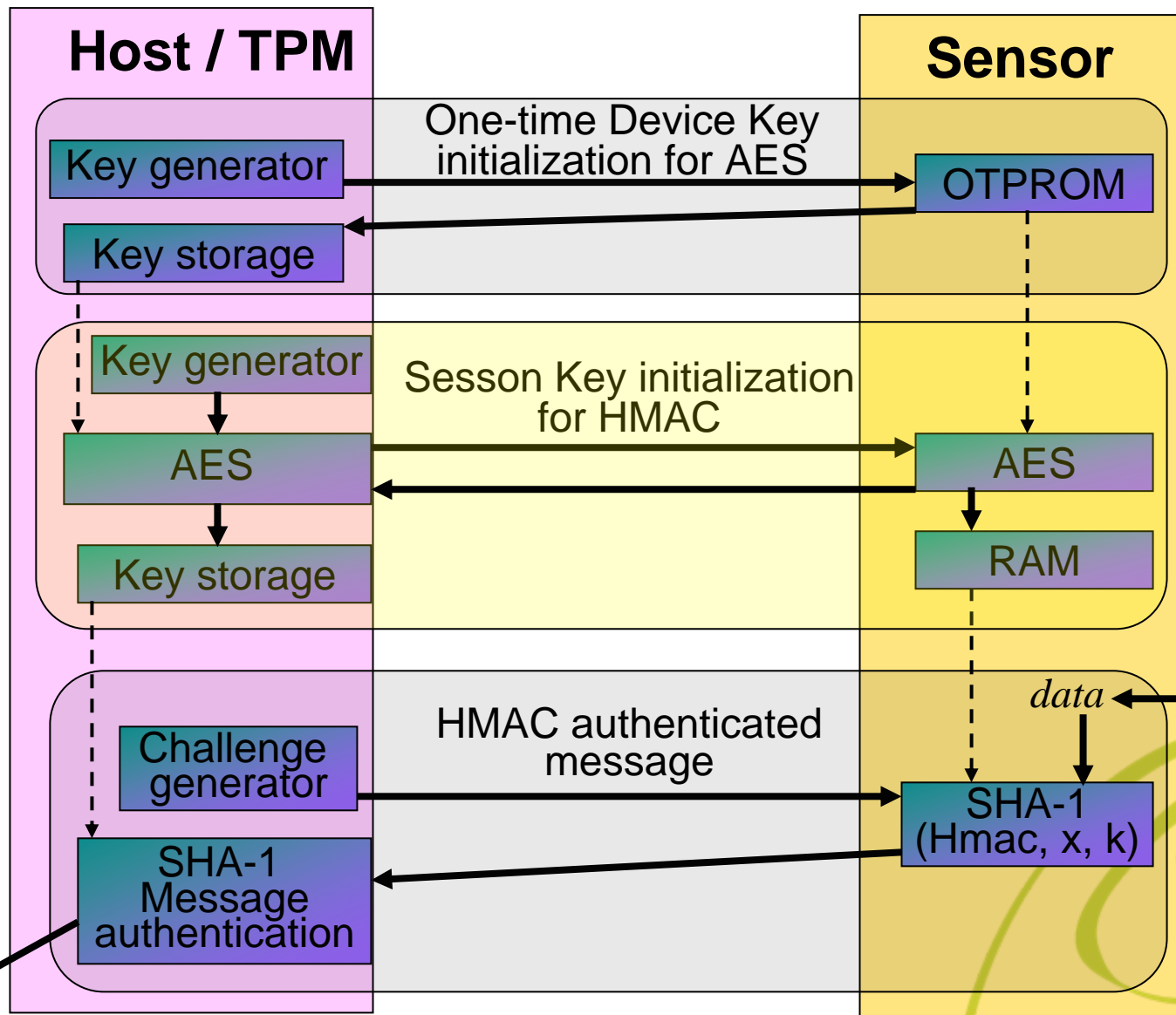
## Session key initialization

- ◆ Add a third transaction type for session key init
- ◆ performed every time device is powered up

## Trusted device is

- ◆ Combo of peripheral device + TPM
- ◆ Session keys can be generated remotely and used for verification remotely ( e.g. MS server)
- ◆ No rehashing by the TPM is required
- ◆ Key mgmt with server via TPM PKI

*Authenticated data*



## *Putting It All Together*

**Simultaneous  
Multi-biometric  
Anti-spoofing**

**Robust Trustworthy  
Identity Authentication**

**Sensor and System  
Security growth**